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DE FBIWMFO #0009 1001332

ZNR UUUUU

P 091254Z APR 92

FM FBI WMFO (29B-WF-171994) (P) (C-5)

TO DIRECTOR FBI/PRIORITY/

FBI LOS ANGELES/PRIORITY/

BT

UNCLAS

CITE: //3920//

PASS: FBIHQ. SSA [redacted] WCCS, FIFU; LOS ANGELES; SA [redacted] b6 b7C

SUBJECT: HIDDEN INTERESTS; MAJOR CASE #38; FIF; FAG; OO: WMFO.

FOR INFORMATION, WMFO SA [redacted] ATTENDED A CONFERENCE AT THE DEPARTMENT OF JUSTICE (DOJ) ON 4/7/92. b6 b7C

ATTENDEES INCLUDED ASSISTANT ATTORNEY GENERAL ROBERT MUELLER, DEPUTY ASSISTANT ATTORNEY GENERAL LAWRENCE URGENSON, CHIEF OF THE FRAUD SECTION [redacted] DEPUTY CHIEF OF THE FRAUD b6 b7C

29A-A-126283-49 b6 b7C

[redacted]

[redacted]

[redacted]

[redacted]

TELETYPE

File Copy

FD-350 (Rev. 6-6-91)

(Mount Clipping in Space Below)

(Indicate page, name of newspaper, city and state.)

Date: 07/18/94
Edition: WALL STREET JOURNEL

Title:

Character:
or
Classification:
Submitting Office:

Indexing:

BCCI Payment Plan Set for Presentation To Bank's Creditors

WASHINGTON (AP) — A plan to repay BCCI depositors and creditors, who have claims exceeding $10 billion, has cleared a tortuous series of negotiations and is now ready for presentation to creditors and courts in three countries, according to people familiar with the situation.

An earlier settlement plan for the Bank of Credit & Commerce International depositors was rejected by a Luxembourg judge last year as too favorable to BCCI's majority shareholders, the government and ruling family of Abu Dhabi.

The new agreement was finalized in discussions over the weekend between the accounting firm Touche Ross, BCCI's court-appointed liquidators, and Abu Dhabi, said a person familiar with the negotiations.

The step is significant for the estimated 250,000 BCCI depositors in Europe, Africa and elsewhere who haven't been able to gain access to their accounts since the bank was shut down three years ago this month.

International bank regulators seized BCCI, a unit of BCCI Holdings (Luxembourg) SA, after auditors' reports described massive losses from fraudulent activities.

The framework of the latest agreement, reached in Zurich in March, provides that the Abu Dhabi shareholders contribute $1.8 billion to a global settlement fund. In a departure from the previous proposal, Abu Dhabi would be subject to the same terms in the liquidation as other creditors.

The pact could provide depositors recoveries of 30 to 40 cents on the dollar, Touche Ross has said.

29A-LA-126287-132

SEARCHED [ ] INDEXED [ ]
SERIALIZED [ ] FILED [ ]

JUL 18 1994

FBI — LOS ANGELES

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b6
b7C

5. AAG MUELLER ADVISED THAT DOJ HAS REQUESTED THAT THE STATE DEPARTMENT PRESSURE PAKISTAN AND ABU DHABI TO COOPERATE WITH RESPECT TO [redacted] b7E

[redacted]

THE FOLLOWING TENTATIVE INVESTIGATIVE STEPS ARE ANTICIPATED, CONTINGENT UPON EVIDENCE REVIEWS:

A. FIRST AMERICAN BANK

1. CONSIDER INDICTMENTS AGAINST NOMINEE SHAREHOLDERS OF CCAH STOCK.

2. ATTEMPT TO OBTAIN THE COOPERATION OF ONE OR MORE NOMINEES.

3. CONSIDER AN INDICTMENT AGAINST ROBERT ALTMAN.

4. CONSIDER AN INDICTMENT AGAINST [redacted] b6 b7C

B. NATIONAL BANK OF GEORGIA

1. CONSIDER A SUPERSEDING INDICTMENT OF [redacted]

2. CONSIDER ADDITIONAL INDICTMENTS OF [redacted] AND OTHER BCCI RELATED INDIVIDUALS.

C. INDEPENDENCE BANK

A. DOJ HAS INITIATED NEGOTIATIONS WITH ATTORNEYS REPRESENTING [redacted] IT IS ANTICIPATED THAT [redacted] WILL b6 b7C b7D

MAKE A PROFFER IN THE IMMEDIATE FUTURE. INCLUDED WILL BE INFORMATION REGARDING MEETINGS [redacted] b6 b7C b7D [redacted] IS SEEKING IMMUNITY FROM PROSECUTION.

A SPECIFIC EVIDENCE REVIEW OF THIS ENTIRE INVESTIGATION WILL BE CONDUCTED ON 4/10/92, AT THE FRAUD SECTION, DOJ.

A FOLLOW-UP MEETING HAS BEEN SCHEDULED WITH AAG MUELLER FOR 4/14/92, TO SOLIDIFY THE ABOVE INVESTIGATIVE PLAN, BASED ON THE RESULTS OF THE EVIDENCE REVIEW.

WMFO SAS [redacted] AND/OR OTHER APPROPRIATE b6 b7C WMFO SAS WILL ACTIVELY PARTICIPATE IN THE PLANNING SESSIONS MENTIONED ABOVE. FBIHQ WILL BE KEPT APPRISED OF ALL DEVELOPMENTS IN SAID PROCESS.

BT

#0009

NNNN

FD-36 (Rev. 11-17-88)

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 4/16/92

TO : SAC, WMFO (29B-WF-171994)(C-5)(P)

FROM : SAC, Los Angeles

SUBJECT : Hidden Interests;
Major case 38;
FIF; FAG
OO:WMFO

Reference Telcal between SA [redacted] WMFO and SA [redacted] LA on 4/13/92. b6 b7C

The purpose of this AT is to provide WMFO with a listing of all interviews conducted by LA to date. In addition LA will update WMFO on the current status of the investigation as well as indicating anticipated work.

As WMFO is aware the investigation in LA was divided into various phases. The first of these concerned the acquisition of INDEPENDENCE BANK (IB) by BCCI. The second involved the investigation of a number of joint ventures (jvs) in which IB was involved which also had connections to BCCI. The third phase of the investigation involves the review of any other connections between IB and BCCI. LA will also conduct any other necessary investigation as the need arises.

During the course of the above investigation, [redacted] b3

2 - WMFO
2 - LA (1) 29A-LA-126283
[redacted] 1-29B-WF-171994 b6 b7C

FILED [redacted]

Approved: _____ Transmitted _____ (Number) (Time) Per [redacted] 1-20-92

File Copy

[redacted] b3 b6 b7C

In regard to the request of WMFO, the following interviews were conducted regarding the acquisition of IB by BCCI. The date of the interview is indicated in (). Those interviews in which a 302 is currently prepared are indicated by a (X).

[redacted] b6 b7C	(08/22/91) (X)	[redacted]	(09/04/91) (X)
	(11/07/91) (X)		(09/04/91) (X)
	(10/03/91) (X)		(09/19/91) (X)
	(10/17/91) (X)		(11/07/91) (X)
	(09/19/91) (X)		(08/23/91) (X)
	(10/08/91) (X)		(09/19/91) (X)
	(09/19/91) (X)		(11/06/91) (X)
	(11/05/91) (X)		(11/06/91) (X)
	(02/27/92)		(10/09/91)
	(11/04/91)		(12/04/91) (X)
	(02/20/92)		(11/04/91)
	(11/05/91) (X)		(10/23/91) (X)
	(03/19/92)		(03/18/92)
	(03/23/92)		

DOJ Attorney [redacted] participated in all of the above interviews except [redacted] and [redacted] DOJ Attorney [redacted] also participated in the [redacted] nd [redacted] interview and DOJ Attorney [redacted] participated in the [redacted] interview. b6 b7C

Of the above interviews, [redacted], and [redacted] are all former employees of BCCI. [redacted] and [redacted] are employees of the California State Banking Department and were involved in the processing of [redacted] application to acquire IB. [redacted] is employed by the FDIC and was also involved in [redacted] application. [redacted] and [redacted] were attorneys involved in the sale of IB to [redacted] [redacted] represented the sellers while [redacted] and [redacted] represented BCCI San Francisco. b6 b7C

[redacted] and [redacted] were employees of IB. [redacted] was also employed by IB at one time. [redacted] is a representative of the former owners of IB. [redacted] is [redacted] [redacted] is a B of A employee who was interviewed for positions at FAB, IB and BCCI. [redacted] is a former CITIBANK employee who handled [redacted] account. b6 b7C

[redacted] is an acquittance of [redacted] who did business at IB. [redacted] is an LA banker who was interviewed for a job at IB by BCCI. b6 b7C

Remaining investigation anticipated involves interviews of [redacted] FDIC Examiner; [redacted] Attorney in the acquisition; Members of IB Board of Directors including [redacted] former CEO of IB; and any of the former IB owners who can be contacted. b6 b7C

[redacted] b6 b7C b7D

The second phase of the investigation involves a number of jvs entered into between IB and other companies. LA initiated an investigation into the jvs has there were indications of connections/associations between some of the jvs and BCCI. Additionally, the jvs program at IB was severely criticized by the bank regulators. IB lost substantial amounts of money in these transaction.

LA has initially focused on a group of jvs involving IB and a group of companies (collectively referred to as CITY CENTER GROUP (CCG)). IB and CCG entered into eleven jvs. LA has identified five jvs in which criminal violations appear to have occurred. The violations involve the theft of funds from IB by arranging for commissions to be paid to front companies of CCG or for commissions to be split between the broker and CCG. These arrangements were not known to IB.

LA also is investigating the connection between the jvs and BCCI. In three of the jvs, funds were obtained from BCCI. In two of the jvs, a [redacted] owned company, WESTCLIFF SECURITIES was listed as the provider of the funds. [redacted] is a large customer of BCCI and was recently arrested by the SFO for providing fraudulent confirmations to auditors at the request of BCCI. The primary BCCI person involved was [redacted] whose current whereabouts is unknown. b6 b7C

In addition to receiving funds from WESTCLIFF SECURITIES, one of the jvs received a large loan from BCCI. A separate jvs received funds from a company, ARKMAKER III LIMITED, whose owner [redacted] is a close friend of [redacted] Two other CCG jvs projects received loans from BCCI, b6 b7C

these jvs did not directly involve IB. Investigation to date indicates that [redacted] was involved with these jvs. [redacted] was also involved in the transactions. b6 b7C

Most investigation conducted to date in this phase has involved obtaining and reviewing relevant documents. A limited number of interviews have been conducted and have primarily taken place in London. The persons interviewed are as follows, again (X) indicates that a 302 is currently prepared.

[redacted]	(03/17/92)	[redacted]	(03/17/92)
	(03/17/92)		(03/18/92)
	(03/24/92)		(03/16/92)
	(03/17/92)		(03/26/92)
	(03/19/92)		

b6 b7C

DOJ Attorney [redacted] participated in all of the above interviews except [redacted] and [redacted] b6 b7C

Of the above, [redacted] and [redacted] are former employees of BCCI. [redacted] and [redacted] are associates of [redacted] a principal of CCG. [redacted] and [redacted] were involved in a jvs with IB. [redacted] and [redacted] are employees of various businesses in London who were involved with the jvs.

In regards to prosecution of the jvs, [redacted] advised that any prosecution would occur in LA. The U. S. Attorneys Office has assigned two AUSAS to the case. LA is proceeding with the investigation as rapidly as possible and anticipates that numerous interviews will be conducted. Anticipated interviews include those of former IB Board members and executive officers, individuals at financial institutions who financed various jvs, as well as other individuals who participated in the transactions. b6 b7C

[redacted] b6 b7C b7D

LA also conducted an investigation to locate any assets of [redacted] As a result of this investigation, the FRB was able to file a TRO against $100,000 at IB, a $630,000 condo in LA, and against a Co-op in New York City. The FRB has requested the U. S. Attorneys Office in LA to proceed with obtaining judgements against the funds and property. b6 b7C

As WMFO is aware [redacted] attorney has contacted, DOJ to attempt to arrange a proffer from [redacted] The attorney indicated that [redacted] was seeking immunity in exchange for the information. Obviously any agreement reached would have a significant impact on the IB investigation. It is LA'S understanding that the arrangements are proceeding rapidly. b6 b7C

On a related matter, LA has been conducting a separate investigation involving [redacted] b6 b7C b7D A plea agreement with [redacted] has been reached. [redacted] will be debriefed regarding [redacted] activities. It is then anticipated that [redacted] will be charged various criminal violations. If so it may be possible to arrange a plea agreement with [redacted] to obtain relevant information.

The third phase of the investigation involves the review, and if necessary, the investigation of customers who had loans and accounts at both IB and BCCI. LA has received information which indicates that a number of BCCI customers were referred to IB. The information suggests that the customers were able to obtain loans from IB although they may not have met the appropriate requirements. LA has focused on the activities of the Beverly Hills Branch of IB as it appears that this branch was the conduit for these loans. The information indicates that [redacted] was able to get the approval for these loans directly from [redacted] LA also learned that [redacted] also has some type of relationship with BCCI LA. b6 b7C

To date the following common customers have been identified:

PATHE COMMUNICATIONS was contacted to purchase a number of IB jvs. PATHE also has a currently unspecified relationship with BCCI LA. An associate of PATHE, [redacted] appears to be the main contact. b6 b7C

MEREX CORPORATION is an Arizona corporation that deals in military hardware. MEREX obtained loans from both IB and BCCI. One of the principles, FNU [redacted] is allegedly in prison in Connecticut for violation of Customs Laws. DOJ is apparently aware of this individual.

The STRATHAM GROUP and an associated company, INTERVEST have loans with both IB and BCCI. A principle of the companies is [redacted] who also has loans at BCCI. Based on the information available on [redacted] it does not appear that he is the [redacted] mentioned in the WMFO who's who. b6 b7C

R. G. CORPORATION is a development corporation which has loans both at IB and BCCI.

Both the STRATHAM and R. G. loans are classified and may result in large losses. It is anticipated that additional connections will be found and investigated as necessary.

Also included in this phase will be the review of two IB jvs, EMERALD PLACE and BARCON DEVELOPMENT. Both jvs received loans from BCCI LA. To date there is no indication that any criminal violations occurred involving these jvs. Two other items that will be reviewed are loans to MOBA INC. and [redacted] MOBA obtained a loan from IB. One of the persons associated with MOBA is [redacted] who was at one time [redacted] attorney. The loan to [redacted] was arranged by [redacted] and was used by [redacted] to obtain bail after [redacted] was arrested in the Tampa money laundering case. LA anticipates that additional matters will arise and will inform WMFO accordingly. b6 b7C

[redacted] b3 b6 b7C

pursuant to a number of FGJ subpoenas. [redacted]

LA is aware of the recent meetings in Washington regarding FAB and is ready to assist WMFO.

LEADS

LOS ANGELES

AT LOS ANGELES, CA.

1. Will continue to advise WMFO of current status of cases and of new information regarding BCCI as it is obtained.

2. Will continue to assist WMFO as necessary.

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DE FBIWMFO #0027 1182145

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SPECIAL

FBI/DOJ

FM FBI WMFO (29B-WF-171994) (P) (C-5)

TO DIRECTOR FBI/IMMEDIATE/

FBI LOS ANGELES/IMMEDIATE/

LEGAT ROME/IMMEDIATE/

BT

UNCLAS

CITE: //3920//

PASS: FBIHQ. OLIA, FLU; CID, WCCS, FIFU, SSA [redacted] b6 b7C

LEGAT ROME, LEGAT [redacted]

SUBJECT: HIDDEN INTERESTS; MC #38; FIF; FAG; OO: WMFO.

RE WMFO TELCALLS TO FBIHQ AND LA, DATED 4/27/92; AND FBIHQ TEL TO CALL OFFICES AND LEGATS, DATED 8/28/91.

REQUEST OF THE BUREAU:

APPROVE THE OFFICIAL TRAVEL OF SA [redacted] TO [redacted] 5/4/92 - 5/9/92, TO INTERVIEW [redacted] b6 b7C b7D

29A-LA-126283 main

57

[redacted] b6 b7C

APR 27 1992

FBI LOS ANGELES

TELETYPE

4/24/92

[redacted]

[redacted]

LEGAT ROME WILL RECALL THAT CAPTIONED MATTER, AS SET FORTH IN RETEL, IS THE INVESTIGATION OF THE ACTIVITIES OF THE BANK AND CREDIT AND COMMERCE INTERNATIONAL (BCCI), PRIMARILY IN THE CONTEXT OF ILLEGALLY ACQUIRING AND CONTROLLING U.S. FINANCIAL INSTITUTIONS.

FOR INFORMATION OF FBIHQ AND LEGAT ROME [redacted]

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[redacted]

FOR INFORMATION OF ALL RECIPIENTS, ON 4/27/92, THE FRAUD SECTION, U.S. DEPARTMENT OF JUSTICE (DOJ) NOTIFIED WMFO THAT NEGOTIATIONS WITH [redacted] ATTORNEY, [redacted], HAVE PROGRESSED TO THE POINT WHERE [redacted] IS READY TO SUBMIT TO A PROFFER INTERVIEW. DOJ HAS AGREED TO [redacted] REQUEST THAT THE INTERVIEW TAKE PLACE IN [redacted] DURING THE WEEK OF 5/4 - 9/92. [redacted]

b6
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OF PARTICULAR INTEREST TO CAPTIONED MATTER, DOJ HAS BEEN TOLD BY [redacted] THAT [redacted] CAN AND WILL FURNISH INFORMATION THAT [redacted] THROUGH [redacted] HAS AGREED TO BE INTERVIEWED ON THE BASIS THAT WHAT HE SAYS MAY BE USED FOR WHATEVER PURPOSE THE GOVERNMENT DEEMS APPROPRIATE. WMFO HAS BEEN ASSURED BY DOJ THAT ALL INFORMATION GIVEN BY [redacted] WILL BE THOROUGHLY INVESTIGATED AND EVALUATED BEFORE ANY PLEA OR DECLINATION ARRANGEMENT IS MADE WITH HIM.

b6
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b7D

DOJ HAS REQUESTED THE ASSISTANCE OF AGENTS FROM BOTH WMFO AND LOS ANGELES IN INTERVIEWING [redacted] WHO HAS AGREED THAT

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THE INTERVIEW COULD BE CONDUCTED AT [redacted] IN [redacted] WMFO HAS DESIGNATED SA [redacted] AND UNDERSTANDS LA HAS DESIGNATED SA [redacted] TO ACCOMPANY DOJ FRAUD SECTION TRIAL ATTORNEY [redacted] b6 b7C b7D

BASED ON THE FOREGOING, WMFO REQUESTS APPROVAL FOR OFFICIAL TRAVEL OF SA [redacted] TO [redacted] DEPARTING WASHINGTON/DULLES 5/4/92 AND RETURNING 5/9/92, ACCOMPANIED BY LA SA [redacted] AND DOJ TA [redacted] THE PURPOSE FOR THE TRAVEL WOULD BE TO INTERVIEW [redacted] BEGINNING NO LATER THAN THE MORNING OF 5/6/92 THROUGH 5/8/92. b6 b7C b7D

ESTIMATED COSTS FOR SA [redacted] TRIP WOULD BE APPROXIMATELY [redacted] CALCULATED ON THE BASIS OF FIVE NIGHTS LODGING AT [redacted] /NIGHT, SIX DAYS M&IE AT [redacted] /DAY; AND [redacted] ROUND TRIP AIRFARE. b6 b7C b7E

LEADS. LEGAT ROME; AT ISTANBUL, TURKEY.

ON NOTIFICATION OF APPROVAL FROM FBIHQ, OBTAIN HOST COUNTRY CLEARANCE FOR SA [redacted] AND ARRANGE LODGING FOR FIVE NIGHTS. b6 b7C

BT

#0027

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APR 27 2 59 PM '92

0026 MRI 01508

OO P12/FBILA ROM

DE FBIWMFO #0027 1182145

ZNR UUUUU

O 272144Z APR 92

FM FBI WMFO (29B-WF-171994) (P) (C-5)

TO DIRECTOR FBI/IMMEDIATE/

FBI LOS ANGELES/IMMEDIATE/

LEGAT ROME/IMMEDIATE/

BT

UNCLAS

CITE: //3920//

PASS: FBIHQ. OLIA, FLU; CID, WCCS, FIFU, SSA [redacted] b6 b7C

LEGAT ROME, LEGAT [redacted]

SUBJECT: HIDDEN INTERESTS; MC #88; FIF; FAG; OO: WMFO.

RE WMFO TELCALLS TO FBIHQ AND LA, DATED 4/27/92; AND FBIHQ TEL TO CALL OFFICES AND LEGATS, DATED 8/28/91.

REQUEST OF THE BUREAU:

APPROVE THE OFFICIAL TRAVEL OF SA [redacted] TO [redacted] 5/4/92 - 5/9/92, TO INTERVIEW [redacted] b6 b7C b7D

TELETYPE COPY

[redacted]

SEARCHED [redacted] SERIALIZED [redacted]
SERIALIZED [redacted] FILED [redacted]
APR 27 1992
FBI--LOS ANGELES
[redacted]

[redacted] b6 b7C

LEGAT ROME WILL RECALL THAT CAPTIONED MATTER, AS SET FORTH IN RETEL, IS THE INVESTIGATION OF THE ACTIVITIES OF THE BANK AND CREDIT AND COMMERCE INTERNATIONAL (BCCI), PRIMARILY IN THE CONTEXT OF ILLEGALLY ACQUIRING AND CONTROLLING U.S. FINANCIAL INSTITUTIONS.

FOR INFORMATION OF FBIHQ AND LEGAT ROME,

b6
b7C
b7D

b6
b7C

FOR INFORMATION OF ALL RECIPIENTS, ON 4/27/92, THE FRAUD SECTION, U.S. DEPARTMENT OF JUSTICE (DOJ) NOTIFIED WMFO THAT NEGOTIATIONS WITH [redacted] ATTORNEY, [redacted] HAVE PROGRESSED TO THE POINT WHERE [redacted] IS READY TO SUBMIT TO A PROFFER INTERVIEW. DOJ HAS AGREED TO [redacted] REQUEST THAT THE INTERVIEW TAKE PLACE IN [redacted] DURING THE WEEK OF 5/4 - 9/92. [redacted]

b6
b7C
b7D

OF PARTICULAR INTEREST TO CAPTIONED MATTER, DOJ HAS BEEN TOLD BY [redacted] THAT [redacted] CAN AND WILL FURNISH INFORMATION THAT [redacted] THROUGH [redacted] HAS AGREED TO BE INTERVIEWED ON THE BASIS THAT WHAT HE SAYS MAY BE USED FOR WHATEVER PURPOSE THE GOVERNMENT DEEMS APPROPRIATE. WMFO HAS BEEN ASSURED BY DOJ THAT ALL INFORMATION GIVEN BY [redacted] WILL BE THOROUGHLY INVESTIGATED AND EVALUATED BEFORE ANY PLEA OR DECLINATION ARRANGEMENT IS MADE WITH HIM.

b6
b7C
b7D

DOJ HAS REQUESTED THE ASSISTANCE OF AGENTS FROM BOTH WMFO AND LOS ANGELES IN INTERVIEWING [redacted] WHO HAS AGREED THAT

b6
b7C
b7D

[redacted]

[redacted]

b6
b7C

THE INTERVIEW COULD BE CONDUCTED AT [redacted] b6 b7C b7D

[redacted] WMFO HAS DESIGNATED SA [redacted]

AND UNDERSTANDS LA HAS DESIGNATED SA [redacted] TO

ACCOMPANY DOJ FRAUD SECTION TRIAL ATTORNEY [redacted]

BASED ON THE FOREGOING, WMFO REQUESTS APPROVAL FOR

OFFICIAL TRAVEL OF SA [redacted] TO [redacted] b6 b7C b7D

DEPARTING WASHINGTON/DULLES 5/4/92 AND RETURNING 5/9/92,

ACCOMPANIED BY LA SA [redacted] AND DOJ TA [redacted]

THE PURPOSE FOR THE TRAVEL WOULD BE TO INTERVIEW [redacted]

BEGINNING NO LATER THAN THE MORNING OF 5/6/92 THROUGH 5/8/92.

ESTIMATED COSTS FOR SA [redacted] TRIP WOULD BE APPROXIMATELY b6 b7C b7E

[redacted] CALCULATED ON THE BASIS OF FIVE NIGHTS LODGING AT

[redacted] NIGHT, SIX DAYS M&IE AT [redacted] DAY; AND [redacted]

ROUND TRIP AIRFARE.

LEADS. LEGAT ROME; AT ISTANBUL, TURKEY.

ON NOTIFICATION OF APPROVAL FROM FBIHQ, OBTAIN HOST

COUNTRY CLEARANCE FOR SA [redacted] AND ARRANGE LODGING b6 b7C

FOR FIVE NIGHTS.

BT

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0006 MRI 00827

OO P12 FBILA FBIWMFO

DE LON #0012 18 17

ZNR UUUUU

O 291707Z JUN 92

FM LEGAT LONDON (29B-WF-171994)

TO DIRECTOR FBI/IMMEDIATE/

FBI LOS ANGELES (29A-LA-126283)/ROUTINE/

FBI WMFO (29B-WF-171994)/IMMEDIATE/

BT

UNCLAS

CITE: //5200:LON079.181//

PASS: FBIHQ - SSA [redacted] FIFU; WMFO - SSA [redacted] b6 b7C

[redacted] C-5.

SUBJECT: HIDDEN INTERESTS; MAJOR CASE 38; FIF; OO: WMFO.

REFERENCE TELCALL BETWEEN SSA [redacted] AND SA [redacted] b6 b7C

[redacted] 6/29/92.

AS WMFO IS AWARE, [redacted] WAS INTERVIEWED BY DOJ b6 b7C b7D

ATTORNEYS [redacted] AND [redacted] AND SAS [redacted]

[redacted] AND [redacted] IN [redacted] FROM 6/26-28/92. [redacted]

29A-LA-126283-71

SEARCHED SERIALIZED [redacted] SERIALIZED FILED [redacted] b6 b7C

JUN 29 1992

[redacted]

FBI—LOS ANGELES

[redacted]

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PAGE TWO DE LON 0012 UNCLAS

WAS INTERVIEWED REGARDING [redacted] b6 b7C b7D

[redacted]

THE PRELIMI RY READ IS THAT [redacted] HAS NOT PROVIDED THE INFORMATION NEEDED TO INCRIMINATE [redacted] OR ROBERT ALTMAN. IN REGARDS TO [redacted] DID PROVIDE SOME USEFUL INFORMATION REGARDING [redacted] b6 b7C b7D

ADDITIONALLY [redacted] MAY HAVE [redacted]

[redacted]

DUE TO [redacted] INVOLVEMENT IN [redacted]

[redacted] PLUS THE FACT THAT [redacted] IS CONSIDERED [redacted]

IN [redacted] LA CASE AGENT DOES NOT BELIEVE IMMUNITY SHOULD BE GRANTED AT THIS TIME.

IT IS LA'S BELIEF THAT WITH SOME ADDITIONAL INVESTIGATION [redacted] COULD BE INCLUDED IN A SUPERCEDING INDICTMENT OF THE RICO INDICTMENT RETURNED IN NOVEMBER OF 1991. b6 b7C b7D

DUE TO THE CURRENT TIMETABLE IMPOSED BY DOJ, A DECISION REGARDING [redacted] WILL PROBABLY BE MADE QUICKLY. IF ADDITIONAL INFORMATION IS NEEDED BY WMFO, REGARDING THE IB MATTERS, SA [redacted] CAN BE CONTACTED THROUGH LEGAT LONDON UNTIL 7/3/92. b6 b7C b7D

BT

29A-LA-126283-

[redacted] [redacted] b6 b7C

[redacted]

[illegible]

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NNNN

FD-36 (Rev. 11-17-88)

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 7/14/92

TO : SAC, WMFO (29B-WF-171994)(C-5)(P)

FROM : SAC, SAC, LOS ANGELES

SUBJECT : HIDDEN INTERESTS
MAJOR CASE #38
FIF; FAG
OO:WMFO

RE numerous telcals between LA and WMFO.

Enclosed for WMFO are the following:

1. WMFO copies of LA AT dates 4/17/92 which was inadvertently not sent to WMFO.

2. Copy of index of microfilm tapes of INDEPENDENCE BANK DOCUMENTS.

3. Original and one copy of FD-302 of [redacted] of METROBANK.

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4. Draft copy of [redacted] interview conducted on 5/6 and 5/7/92.

5. Copy of FD-302 of [redacted] dated 5/29/92.

The purpose of this airtel is to update WMFO regarding recent developments in LA.

2-WMFO
2-LA
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29A-LA-126283
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Approved: _____ Transmitted _____ (Number) (Time) Per [redacted]

7-20-92
File Copy

In regards to [redacted] was interviewed pursuant to a proffer agreement on 5/6 and 5/7/92. SA's [redacted] and [redacted] conducted the interview with DOJ attorney [redacted]

[redacted] b6 b7C b7D

The investigation of the IB joint ventures is progressing rapidly. LA is of the opinion that subject interviews will be attempted in the near future. LA is awaiting [redacted] b3 b6 b7C subpoenaed. [redacted] The potential subjects are [redacted] and [redacted] Potential subjects include [redacted] and [redacted]

As indicated in prior communications, [redacted] b6 b7C and [redacted] are principals of a company collectively known as CCG. CCG was involved with thirteen joint ventures with IB. Of the eleven, LA has targeted five of the joint ventures for investigation. The five projects are known as 190 NORTH CANON

ASSOCIATES, OLD TOWN MALL ASSOCIATES, HARBOR PLAZA ASSOCIATES, LA SOAP/BANNING ASSOCIATES and WILSHIRE FAIRFAX ASSOCIATES. Of the five, OLD TOWN MALL and WILSHIRE FAIRFAX received funds from WESTCLIFF SECURITIES through BCCI.

The criminal activity that occurred was the theft of funds from IB and money laundering. The scheme was generally the same with all five of the joint ventures. CCG would locate and make an offer for a commercial property. CCG would then approach IB with a joint venture proposal. When the joint venture was formed, the joint venture would assume all CCG liabilities or existing debt for that project. If financing were needed the joint venture would secure what was necessary. In all CCG joint ventures, IB put forth cash as a capitol contribution. CCG provided no cash but instead contributed its management expertise plus rights it had in the property as its investment.

In all five cases, commissions were paid at the time financing was secured. In three of the joint ventures, commissions were paid to HOLLAND FINANCIAL (HF) or WORLD REALTOR CORPORATION (WRC). Both companies [redacted] b6 b7C [redacted] Both companies shared the same address. Neither is licensed in California to conduct real estate transactions. A total of $2,460,000 in commissions was received by the two companies. In each case the funds from WRC and HF were traced to accounts related to the CCG principals.

In the two remaining joint ventures, commissions were paid to individuals as "finders fees". In both cases, these fees were split with CCG. The total of these transactions was $225,000.

In none of the joint ventures was IB aware that CCG had received these commissions. In fact the joint venture agreements signed by CCG and INDEPENDENCE BANK specifically prohibited the joint venture partners from receiving commissions or fees. LA is aware that this pattern occurred in at least three other CCG/IB joint ventures as well. LA does not plan to address these matters unless additional subjects or possible seizures would result.

LA as identified the following assets as potential seizures, including a 42' boat in the name of [redacted] b6 b7C the house currently owned by [redacted] two vehicles, possibly some commercial real estate and approximately 2.0 million in cash. LA is currently obtaining relevant information on these items to determine whether the assets are available and if seizures are possible.

LA recently learned that an attorney representing CCG, [redacted] approached the FDIC with an offer to pay approximately $900,000 to the FDIC to settle a lawsuit filed originally by the INDEPENDENCE BANK. In exchange, CCG is looking for a guarantee that no further suits would be filed. The FDIC is currently inclined to accept this offer which would have no impact on the current criminal investigation. b6 b7C

In addition, [redacted] (ph) contacted DOJ and advised that he represented [redacted] and [redacted] and an associate, [redacted] met with [redacted] on 7/10/92. LA participated via telephone. The basic theme of the meeting was for [redacted] to open dialogue with DOJ. [redacted] also indicated that his clients might have useful information regarding [redacted] Since [redacted] had just recently been hired he was not totally familiar with the case. It is anticipated that additional meetings will take place in the near future. b6 b7C b7D

As stated in previous communications, one of the reasons these joint ventures are being investigated are the connections to BCCI. In two of the CCG/IB joint ventures being investigated, funds were obtained from WESTCLIFF SECURITIES. WESTCLIFF SECURITIES was created in the Cayman Islands and according to PRICE WATERHOUSE is part of a group of companies owned by [redacted]. In both cases [redacted] was the BCCI employee who handled the transactions. [redacted] was also involved in another project involving CCG which was not connected to IB. b6 b7C

When [redacted] was interviewed he stated that [redacted] b6 b7C b7D

[redacted]

LA has also begun investigating allegations that the [redacted] and [redacted] b6 b7C b7D

[redacted]

To date LA has identified the following companies or individuals with connections to both IB and BCCI:

[redacted]

INTERVEST RESOURCES
MARKETING LINK
R. G. CORPORATION

[redacted]

MOBA INC.
PATHE COMMUNICATIONS

[redacted]

MEREX INDUSTRIES

[redacted]

STRATHAM GROUP
HELMY'S HOUSE OF LINEN

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[redacted] is involved with both INTERVEST and STRATHAM. Based on information currently available, LA is of the opinion that [redacted] is not the same [redacted] listed in the WMFO Who's Who.

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MARKETING LINK and HELMY'S HOUSE OF LINEN are companies owned by or in part by [redacted] MOBA INC., is a company in which [redacted] a [redacted] attorney, has involvement.

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The remaining entities were either previously discussed in the referenced AT or current information is not available.

During the course of reviewing CCG account records, LA noted deposits from [redacted] who is located in Florida. On 7/14/89, [redacted] obtained four cashiers checks from two Orlando Banks. Three of the cashiers checks, #1766502 for $5,000; #1766505 for $10,000; #1766497 for $50,000, were obtained from SUN BANK. The fourth cashiers check was obtained from CITIZENS SOUTHERN (C & S) BANK. The cashiers check number was 0186705 in the amount of $5,000.

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In August 1989, a number of cashiers checks were issued from CCG accounts and cashed at IB. [redacted] received a $70,000 check. In addition, checks were issued to the following individuals: [redacted] The dates and sequence of the cashiers checks suggests that the above individuals are some how connected.

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The review also revealed that [redacted] received loans from CCG in late 1989. As WMFO is aware, [redacted] was arrested in the Tampa case but charges were dismissed. Another connection between CCG and BCCI Tampa involves [redacted] and [redacted] CCG deposited a $920,000 check from BCCI Los Angeles. The check referenced BCCI Tampa. The purpose of the check is unknown. There is a notation on the check with the possible name of TRADE WINDS INC. The wording is not clear and it is conceivable that this is not the correct name.

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It is LA's understanding that efforts are under way to include INTERREDEC in a superseding indictment. The following is a synopsis of information that LA has which associates INTERREDEC with IB.

On 10/22/90, IB received a wire transfer of $400,000 originating from FIRST UNION BANK of GEORGIA. The wire transfer documents state the funds originated from INTERREDEC. The transfer was for the benefit of BAF PROPERTIES. BAF was involved in the purchase of a number of IB joint ventures. The joint ventures were transferred by IB to a subsidiary called PWR. BAF paid a total of $20,300,000 for the joint ventures and loans that were part of PWR.

The events that led to the sale of these joint ventures to PWR are as follows:

In April of 1990, IB entered into a MOU regarding IB operations. One of the requirements was that IB needed to divest itself of its joint venture operations. To accomplish this, IB officials attempted to make bulk sales of the projects. Both BCCI and PATHE COMMUNICATIONS were contacted. Neither organization opted to purchase the joint ventures. [redacted] at the time, communicated to [redacted] b6 b7C the need to dispose of the assets. [redacted] agreed to assist the bank.

After this conversation, IB received a call from [redacted] b6 b7C [redacted] who worked at INTERREDEC. An agreement was made were INTERREDEC would purchase the joint ventures, which were then transferred to PWR. PWR was then bought by BAF which was a subsidiary of INTERREDEC. [redacted] was then put in charge of operating PWR.

Another aspect of this sale was that INTERREDEC would purchase from IB a loan to WILSHIRE FAIRFAX ASSOCIATES for $2,400,000.

Another subpoena was issued to [redacted] b3

[redacted]

Other connections between IB and INTERREDEC involve members of the IB Board of Directors. [redacted] from b6 b7C INTERREDEC was appointed as an IB board member. In addition, [redacted] was recommended as a member but was not appointed.

When [redacted] was made [redacted] he made it a condition b6 b7C that [redacted] fund a third world debt swapping subsidiary of IB. This idea was nixed by the FDIC. [redacted] then proposed that [redacted] fund a new company, later known as DLF. This

company was owned 80% by INTERREDEC instead of [redacted] directly. b6 b7C

Recently, testimony was provided by the FDIC to Congress regarding IB. Although LA has yet to receive a copy of the testimony, some of the statements made have been referred to LA.

During the testimony, statements were made concerning kickbacks made to [redacted] in conjunction with the joint ventures. LA contacted an FDIC employee who assisted in the preparation of the FDIC statement. The employee stated that this was a mistake made by the speaker. LA has no information which indicates [redacted] took kickbacks. The funds referred to are probably the payments made by BCCI to [redacted] as severance pay. b6 b7C

Another statement evidently made concerned permanent financing for the joint ventures provided by [redacted] related companies. The only possible explanation is the purchase of the joint ventures by INTERREDEC. To LA's knowledge, no [redacted] related companies have provided financing to IB or any IB projects. b6 b7C

In regards to the matter of the unknown bank, LA is only aware of FAB, NBG, IB, CENTRUST, MAIN, COMMONWEALTH and METROBANK of California. LA has confirmed with METROBANK that CONCORD INTERNATIONAL is the owner of 4.9% of outstanding stock. LA was advised that the FRB has been pursuing this matter. LA will also be contacting GREAT WESTERN BANK concerning their purchase of CENTRUST deposits. According to WMFO, [redacted] apparently contacted GWB prior to the purchase. b6 b7C

In addition to interviewing [redacted] in London, [redacted] was interviewed. Present during the interview was an accountant with the SFO. [redacted] was included on the list of interviews previously provided by LA in regards to the March trip to London.

As WMFO is aware, [redacted] approached DOJ to arrange a mutually acceptable deal. [redacted] was interviewed twice by FBI and DOJ under a proffer agreement. In regards to INDEPENDENCE BANK, LA case agent is under opinion that [redacted] has not provided the type of information which would support some type of an agreement. DOJ attorney [redacted] concurs with this conclusion. b6 b7C b7D

It is LA's opinion that [redacted] b5 b6 b7C

[redacted] b5 b6 b7C b7D b6 b7C

The weak area of the case involves [redacted] knowledge that [redacted] was a nominee and that misrepresentations were made to the FDIC. Currently, the earliest that knowledge can be established is 6/86. Earlier knowledge is circumstantial although witnesses like [redacted] and [redacted] might be able to show earlier knowledge. Needed investigation involves the re-interviewing of key witnesses focusing on [redacted] role plus a review of available documents to insure nothing has been overlooked.

LA will provide an in depth review of [redacted] in a separate communication. b6 b7C

After returning from the second interview, DOJ held a meeting which included MUELLER, [redacted] and [redacted] Based on the belief that New York may indict [redacted] and ALTMAN in July, a decision was made to maintain the current status with [redacted] Word was evidently then sent to Los Angeles telling the U. S. Attorneys Office to delay any action on forfeiting the [redacted] property. b6 b7C

LA has recently been in contact with [redacted] who is an attorney representing the State of California in the BCCI liquidation matter. [redacted] has indicated a willingness to provide the FBI with all original closed files currently being stored in LA. The remaining active files would be turned over has they are closed. LA will be meeting with [redacted] to discuss acceptable methods for the transfer of the documents. b6 b7C

On an administrative matter, LA has concerns that DOJ has obtained documents that the FBI may not be aware of for what ever reason. Sources of these documents include SFO/London, Cayman Islands and the U. S. Attorneys office in Miami. LA is not totally familiar with the arrangements WMFO has with DOJ regarding the documents and it is possible that WMFO is aware that these documents were obtained. LA was recently made aware that documents from the above sources were obtained concerning INDEPENDENCE BANK. LA was aware that requests had been made of the Cayman authorities and of the SFO (based on the March review of SFO records by LA). LA was not aware of documents being received from the Miami U. S. Attorney.

While in London in June, LA learned that DOJ was in direct contact with the BCCI liquidators concerning access to documents regarding. The request was made without the knowledge of LA or Legat London. Due to the voluminous documents available to the U. S. LA feels that it is important that all requests be coordinated.

It is left to the discretion of WMFO to address these concerns as deemed necessary if they have not yet been resolved.

LEADS

LOS ANGELES DIVISION
at Los Angeles, California

1. Will provide WMFO with status of [redacted] investigation. b6 b7C

2. Will provide WMFO with copies of all available FD-302s

3. Will provide outline and narratives of joint venture case investigation and continue to advise WMFO of status.

4. Will advise WMFO of the results of the meetings with [redacted] regarding the LA BCCI documents. b6 b7C

(Mount Clipping in Space Below)

(Indicate page name of newspaper city and state.)
L.A. TIMES
LOS ANGELES, CA

Date: WED., 3-31-93
Edition: FRONT SECTION
PG. A23

Title: ALTMAN'S ATTORNEYS CLAIM COVER-UP IN BCCI CHARGES

Character:
or
Classification:
Submitting Office:
LOS ANGELES

Indexing:

Altman's Attorneys Claim Cover-up in BCCI Charges

■ Trial: Defense in bank scandal case says lawyer is a scapegoat for regulators. Prosecutor says scheme netted huge profits from stock deals and legal fees.

From Associated Press

NEW YORK—Robert Altman's defense attorneys came out swinging as his trial began Tuesday. They accused prosecutors of a cover-up and charged regulators with making the Washington lawyer a scapegoat in the BCCI scandal.

"The evidence will show he is being prosecuted for political reasons," Gustave Newman, Altman's lead defense attorney, told jurors.

Newman denounced the trial as a media show, saying U.S. bank regulators are attempting to vilify his client to deflect criticism of their own failings in the Bank of Credit & Commerce International affair.

"They want to forget it because they want to cover up their own misfeasance and malfeasance in the way they handled BCCI," Newman said.

The Manhattan District Attorney's Office offered a sharply different view on the opening day of the first criminal trial to arise from the 1991 collapse of BCCI, which served as banker to dictators and drug lords.

The trial, which could last for months, is expected to shed new insight into BCCI activities.

The case centers on an elaborate scheme by BCCI, considered one of the most corrupt banks in history, to illegally expand into the United States by purchasing a major Washington bank, First American Bankshares Inc., and acquiring National Bank of Georgia, once owned by former Jimmy Carter Administration official Bert Lance.

John Moscow, an assistant district attorney and lead prosecutor in the case, said Altman was part of a scheme to lie to bank regulators, depositors and wealthy Middle Eastern investors about BCCI's clandestine role in acquiring control of First American.

In exchange, BCCI arranged for a favorable loan for Altman and his powerful law partner, Clark M. Clifford, for purchase of stock in First American's parent company. Clifford and Altman earned profits of $3 million and $1.5 million, respectively, from the stock deal.

Also, their law firm billed BCCI, First American and related banks $16.8 million since the late 1970s.

"As part of the corrupt agreement, Clifford and Altman had this sweetheart deal," Moscow told an eight-woman, four-man jury in New York state Supreme Court in Lower Manhattan.

Altman, dressed in a dark suit, appeared calm. His three sisters, parents and wife sat behind him, at times wincing as Moscow described his alleged crimes.

Altman faces state charges of fraud, taking bribes, false statements and falsifying business records. Each of the eight counts carries a four-year prison term.

Altman, 46, has been a longstanding associate of Clifford's, the former defense secretary and a major force in Democratic politics. Clifford also was indicted, but his trial has been delayed because of his recent heart surgery.

Moscow said Altman and Clifford were central to the scheme and described what he called their multiple roles as attorneys for Middle Eastern investors who bought First American's parent company, and as attorneys and officers for an offshore company called Credit & Commerce-American Holdings.

Clifford served as chair[illegible] Altman president of Firs[illegible] can Bank from the early 19[illegible] 1991.

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DO. 4-1-93

(Indicate page, name of newspaper, city and state.)

L.A. TIMES
LOS ANGELES, CA

Date: THU., 4-8-93
Edition: NEWS, A-21

Title: U.S. TO DROP BCCI CHARGES AGAINST CLIFFORD, ALTMAN

Character:
or
Classification:
Submitting Office:
LOS ANGELES

Indexing:

U.S. to Drop BCCI Charges Against Clifford, Altman

By RONALD J. OSTROW
TIMES STAFF WRITER

WASHINGTON—Citing former Defense Secretary Clark M. Clifford's poor health and the continuing New York state trial of his law partner, Robert A. Altman, the Justice Department Wednesday moved to drop fraud and conspiracy charges against the two in an international bank scandal.

But federal prosecutors sought to reserve their right to bring broader charges against the two after the conclusion of Altman's New York trial, which began last month. Clifford is recovering from quadruple bypass surgery.

The Justice Department noted that U.S. District Judge Joyce Hens Green has indicated that she would not go along with delaying the federal trial, which was to have begun June 1.

Clifford, a longtime adviser to Democratic presidents, and Altman were indicted last July on charges of conspiring to defraud the Federal Reserve Board by concealing Bank of Credit & Commerce International's alleged control of a Washington bank chain, First American Bankshares Inc., of which Clifford was chairman and Altman was president.

New York brought broader bribery and fraud charges against the two men growing out of the BCCI scandal, but Clifford did not go to trial because of his health.

Foreign-owned BCCI was shut down in 1991 after regulators around the world accused it of stealing billions of dollars from depositors and funneling the money to bank insiders—actions said to rank among the greatest financial frauds ever.

Carl S. Rauh, attorney for Clifford and Altman in the federal case, said that they "are very pleased" by the Justice Department's move. He dismissed as a "very little concession" their agreement not to object to prosecutors bringing renewed charges without having to obtain a grand jury indictment.

"It's very unlikely they [federal prosecutors] will bring other charges," Rauh contended.

Both men had pleaded not guilty.

Only a month ago, federal prosecutors said in a pretrial hearing that they would seek broader, superseding charges against the two men, alleging that they knew of BCCI's illegal effort to control First American from the start of the alleged conspiracy in 1977—which were charges similar to those brought by New York prosecutors. The federal indictment alleged crimes beginning in 1985.

A Justice Department spokesman dismissed any suggestion of politics in the move to drop the charges. He noted that the decision was made by John C. Keeney, acting head of the department's criminal division, and Laurence A. Urgenson, acting deputy assistant attorney general—both career officials. The decision was taken to Atty. Gen. Janet Reno, and she concurred, the spokesman said.

Clifford, 86, underwent open heart surgery March 22, making it "nearly certain that he will not be available for trial on June 1, let alone available in advance to assist his attorneys in preparation for trial," the department's motion said.

Altman's New York trial, which may stretch into the fall, makes it "unlikely in the extreme that he will be ready or available for trial on June 1," the department said.

"Most significantly, preparation for a federal trial will burden the New York prosecutors as well," the motion said. "A concurrent federal prosecution inevitably will interfere with the state case, since evidence overlaps and witnesses necessary to both cases will be on call in both Washington and New York."

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FBI — LOS ANGELES

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Ethics Panel Opens Probe of Hatch, BCCI

From a Times Staff Writer

WASHINGTON—The Senate Ethics Committee opened an investigation Wednesday into contacts between the office of Sen. Orrin G. Hatch (R-Utah) and the defunct Bank of Credit & Commerce International.

A one-paragraph statement by the committee said only that the inquiry would examine contacts between Hatch's office and representatives of BCCI. The Luxembourg-based bank was shut by regulators worldwide in 1991 after allegations of widespread corruption.

Hatch requested the investigation and has provided the committee with office records and documents, according to the statement issued by Sen. Richard H. Bryan (D-Nev.), the committee chairman, and Sen. Mitch McConnell (R-Ky.), vice chairman.

Attempts to reach Hatch were unsuccessful. In the past, he has denied any wrongdoing and said that he welcomed a Senate inquiry.

BCCI's downfall began in January, 1990, when it pleaded guilty to money laundering charges in Tampa, Fla. Soon after the plea, Hatch made a speech on the Senate floor defending the bank. A report last year by a Senate subcommittee said that the speech was drafted for Hatch by lawyers for BCCI.

After the speech, Hatch made telephone calls to the head of BCCI in London seeking a $10-million loan for a business associate of the senator's, according to sources and published reports.

In addition, a former Hatch staff member assisted BCCI lawyers in crafting a strategy to restrict the investigation of the bank by the Justice Department after its guilty plea, according to last year's Senate report and other sources.

In another action announced Wednesday, the Ethics Committee said that it will take no action on complaints that Sen. Daniel K. Inouye (D-Hawaii) sexually assaulted his hairdresser 17 years ago. The committee said that the hairdresser refused to file a complaint about Inouye and had said that she would not willingly cooperate with an inquiry.

(Indicate page, name of newspaper, city and state.)

L.A. TIMES
LOS ANGELES, CA

Date: THU., 4-8-93
Edition: NEWS, A-21

Title: ETHICS PANEL OPENS PROBE OF HATCH, BCCI

Character:
or
Classification:
Submitting Office: LOS ANGELES

Indexing:

294-LA-126283-97

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FBI — LOS ANGELES

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FD-350 (Rev. 8-8-91)

(Indicate page, name of newspaper, city and state.)

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Date 06/15/94
Edition: WALL STREET JOURNAL

Title

Character
or
Classification
Submitting Office

Indexing

Twelve Ex-Officials Of BCCI Convicted, Sentenced for Fraud

Special to THE WALL STREET JOURNAL

ABU DHABI, United Arab Emirates—Twelve former top executives of the collapsed **Bank of Credit & Commerce International** were convicted of fraud and mismanagement.

They were sentenced to prison terms of as many as 14 years and ordered to pay $9.13 billion to the government of Abu Dhabi. Another defendant was acquitted.

BCCI, seized by international bank regulators on July 5, 1991, is considered a case of one of the world's largest bank frauds. Some 250,000 depositors and creditors were left without access to their funds and repayment plans are facing legal snarls.

The elderly Pakistani founder of the bank, Agha Hasan Abedi, who failed to answer several summons from the court and is said to be bedridden in his Pakistan home of Lahore, was sentenced in absentia to an eight-year jail term.

His top aide, Saleh Naqvi, received the longest jail sentence of 14 years.

Ziauddin Ali Akbar, BCCI's former treasurer, also was tried in absentia, while Mr. Naqvi was extradited to the U.S. last month to face charges there. Mr. Akbar is serving a prison term in Britain on BCCI-related charges.

The restitution was ordered in response to a civil suit filed separately by the Abu Dhabi government, whose ruling Al Nahyan family owned 77.4% of BCCI stock when the global banking empire collapsed.

Iqbal Ahmed Radwi was the only one of the 13 defendants who was acquitted. The trial without a jury began in October and ended proceedings on April 24. Most of the defendants were Pakistani nationals carrying British passports.

29A-LA-126283-126

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JUN 16 1994

FBI — LOS ANGELES

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FD-350 (Rev. 5-8-81)

(Indicate page, name of newspaper, city and state.)

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Date: 07/18/94
Edition: WALL STREET JOURNAL
LETTERS TO THE EDITOR

Title:

Character:
or
Classification:
Submitting Office:

Indexing:

I've Kept Arm's Length From All BCCI Matters

[In Friday's edition, an important part of the text was missing from the following letter.]

Your editorials on BCCI suggest that, having represented Clark Clifford and Robert Altman while I was in private practice, I am now influencing the conduct of the Justice Department investigation into the BCCI matter ("Sweetheart Justice," July 1, and "Sweetheart Justice II," July 12). Were that suggestion true, it would constitute a violation of the Rules of Professional Conduct and the Justice Department rules on conflict of interest.

It is a matter of public record that I am recused from any matter in which I was involved in private practice, including this one. For the record, from the middle of 1992 until early 1993, I represented Messrs. Clifford and Altman with regard to their claims against First American Bank for indemnification from legal fees incurred in the BCCI matter. I was not involved in the criminal cases in any way and never dealt with the federal or state prosecutors. Contrary to a suggestion in one of your editorials, I have never even met or spoken with Robert Fiske. At the beginning of my tenure at the Justice Department, I recused myself from the entire BCCI matter, which is being handled by others within the department.

JAMIE GORELICK
Deputy Attorney General
Washington

29A-LA-126283-131

SEARCHED [ ] INDEXED [ ]
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JUL 18 1994
FBI — LOS ANGELES

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SECTION [redacted] FRAUD SECTION TRIAL ATTORNEY [redacted] b6 b7C

[redacted] WDC AUSA [redacted] AND WDC AUSA [redacted]

THE PURPOSE OF THE MEETING WAS TO DISCUSS/REVIEW THE STATUS OF THE FBI/DOJ BCCI TASK FORCE INVESTIGATION. AAG MUELLER WAS THOROUGHLY BRIEFED ABOUT THE CURRENT STATUS AND INVESTIGATIVE DEVELOPMENTS BASED ON THE RECENT TRIP TO LONDON.

THE FOLLOWING POINTS SHOULD BE OF PARTICULAR INTEREST TO FBIHQ:

1. DOJ HAS MADE AN OFFICIAL REQUEST FOR DOCUMENTS FROM THE BRITISH LIQUIDATORS.

2. DOJ ANTICIPATES THAT FIRST AMERICAN BANK (FAB) WILL FAIL IN THE NEAR FUTURE. IT IS FURTHER ANTICIPATED THAT THE FEDERAL RESERVE WILL TAKE STEPS TO HAVE A COURT APPOINTED TRUSTEE NAMED TO [redacted] b6 b7C

3. AAG MUELLER STATED THAT DOJ WAS GIVING CONSIDERATION TO ENTERING INTO A MUTUAL LEGAL ASSISTANCE AGREEMENT WITH ABU DHABI TO [redacted] b7E

4. DOJ PLANS TO VIGOROUSLY PURSUE THE EXTRADITION OF [redacted] FROM FRANCE. IT SHOULD BE NOTED THAT THIS EFFORT MAY BE IN CONFLICT WITH [redacted] b6 b7C b7D

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FM DIRECTOR FBI

TO ALL FBI FIELD OFFICES/IMMEDIATE/

ALL LEGATS/IMMEDIATE/

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CITE: //0624//

PASS: PERSONAL ATTENTION.

SUBJECT: [redacted] AKA [redacted] [redacted] (ROBERT ALAN ALTMAN) AKA (ROBERT A. ALTMAN) (ROBERT ALTMAN); [redacted] AKA [redacted] DBA [redacted] AKA [redacted] DBA [redacted] AND

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Title pg 1

126283-3

29B-LA [redacted]

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JUL 26 1991

TELETYPE

[redacted] ADVISED

7/27/91 @ 8:22

NO IMMEDIATE ACTION Rec'd [redacted]

Another possible connection between BCCI and IB involves a company known as WESTCLIFF SECURITIES (WS). WS obtained an interest in a joint venture involving IB and CCG. Documentation for WS was sent to BCCI London, care of [redacted]

As mentioned earlier, [redacted] has been associated with BCCI. In addition, [redacted] is a business partner with [redacted] in a company, AMERICAN LINEN. [redacted] was frequently contacted regardng the FNBB loan to [redacted] as was [redacted] BCCI.

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LA was recently made aware that IB was used by a group to launder approximately 50 million dollars fro the drug cartels during 1988. This matter was investigated by LA and New York under Operation "Polar Cap". This group had contact with a former branch manager of BCCI, Panama City, WINFREDO GLASS. Efforts are currently underway to determine if the laundering operation occurred because of BCCI's "ownership" of IB.

It appears probable that IB will be closed by state banking authorities in the near future. Although extensive efforts have been made to raise appropriate capitol, it does not appear these efforts will be successful. LA is in contact with appropriate agencies and is monitoring the situation.

LA has [redacted] The source recently contacted the office to provide his assistance. In particular, the source is very familiar [redacted]

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LEADS:

WMFO
at Washington D.C.

1. Will advise LA of any specific information required of above source.

Los Angeles Division
at Los Angeles, California

1. Will continue to support WMFO investigation as appropriate.

2. Will continue appropriate investigation at IB.

CRIMINAL REFERRAL FROM THE BGFRS, ALLEGING THAT [redacted] AND ALTMAN HAD MADE FALSE AND FRAUDULENT STATEMENTS CONCERNING BCCI'S OWNERSHIP AND CONTROL OF CCAH, THE PARENT-HOLDING COMPANY OF FABS WHICH OWNS FIRST AMERICAN BANK, WDC. [redacted] AN[redacted] IS THE [redacted] OF FABS AND [redacted] ALTMAN, IS PRESIDENT. [redacted] AND ALTMAN ALSO SERVE ON THE BOARD OF DIRECTORS OF CCAH. FABS IS THE LARGEST BANK-HOLDING COMPANY IN THE WDC AREA WITH $11.5 BILLION IN ASSETS. IN THE 1982 TAKEOVER OF FINANCIAL GENERAL BANKSHARES (FGB), THE PREDECESSOR TO FABS, BY A GROUP OF ARAB INVESTORS, [redacted] AND ALTMAN ASSERTED THAT BCCI HAD NO ROLE IN THE TAKEOVER OTHER THAN THAT OF AN INVESTMENT ADVISOR. THE BGFRS APPROVED THE TAKEOVER OF FGB BASED ON THE REPRESENTATION THAT BCCI WOULD HAVE NO INVOLVEMENT WITH THE MANAGEMENT OR OTHER AFFAIRS OF FGB, NOW KNOWN AS FABS. THE BGFRS NOW ALLEGES THAT FABS MADE FALSE STATEMENTS IN REGULATORY REPORTS AND FILINGS CONCERNING THE ROLE OF BCCI IN THE 1982 TAKEOVER AND SUBSEQUENT OWNERSHIP OF FABS.

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ON 5/21/91, WMFO RECEIVED THREE ADDITIONAL CRIMINAL REFERRALS FROM THE BGFRS CONCERNING BCCI AND DR. [redacted]

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[redacted] WHICH ALLEGGGED THAT [redacted] ACTED AS NOMINEE FOR BCCI IN THE PURCHASE OF TWO BANKS IN GEORGIA AND CALIFORNIA IN 1978 AND 1984, BEING THE NATIONAL BANK OF GEORGIA (NOW THE FIRST AMERICAN BANK OF GEORGIA), ATLANTA, GEORGIA, AND THE INDEPENDENCE BANK, ENCINO, CALIFORNIA. THE REFERRALS FURTHER ALLEGE THAT FALSE STATEMENTS WERE MADE TO THE BGFRS CONCERNING THE OWNERSHIP AND CONTROL OF THESE TWO BANKS. b6 b7C

[redacted] AND [redacted] WAS A MAJOR INVESTOR IN BCCI IN THE 1970'S AND ACQUIRED CONTROL OF BCCI IN 1990. ON 7/5/91, AS A RESULT OF THE ALLEGED FRAUDULENT CONDUCT BY BCCI, BANK REGULATORS IN BRITAIN, LUXEMBOURG, THE UNITED STATES, SWITZERLAND, SPAIN, FRANCE, AND THE CAYMAN ISLANDS CLOSED DOWN BRANCHES OF BCCI WITHIN THEIR RESPECTIVE JURISDICTIONS. THE BCCI MATTER HAS RECENTLY RECEIVED EXTENSIVE MEDIA COVERAGE ALLEGING BCCI'S CONNECTIONS WITH NUMEROUS INTERNATIONAL ILLEGAL ACTIVITIES. MEDIA REPORTS ALSO INDICATE POSSIBLE RELATED CONGRESSIONAL HEARINGS IN THE NEAR FUTURE. b6 b7C

IN ADDITION TO THE SUBJECTS AND INDIVIDUALS NAMED ABOVE, THE FOLLOWING NAMES ARE BEING PROVIDED IN THAT THESE INDIVIDUALS RELATE TO THIS MATTER AND SHOULD BE INCLUDED IN INDICES SEARCHES:

THAT HAVE BEEN OR ARE CURRENTLY BEING CONDUCTED BY THE FBI. RESPONSE SHOULD ALSO ADVISE OF ANY KNOWN INVESTIGATION(S) INVOLVING BCCI AND/OR OTHERS NAMED HEREIN WHICH IS PRESENTLY BEING CONDUCTED BY OTHER FEDERAL, STATE, OR LOCAL LAW ENFORCEMENT AGENCIES.

BT

#0222

NNNN

U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

Los Angeles, CA 90024

August 2, 1991

INDEPENDENCE BANK,
Encino, California;
BANK OF CREDIT & COMMERCE INTERNATIONAL, S.A.,
Los Angeles, California;
FIF - MONEY LAUNDERING

On July 5, 1991, bank regulators world-wide seized control of and closed branches of the BANK OF CREDIT AND COMMERCE INTERNATIONAL, S.A. (BCCI). BCCI is owned by [redacted] b6 b7C This seizure resulted from allegations by the BANK OF ENGLAND that BCCI was involved in massive fraud. One specific allegation involved BCCI providing numerous loans to wealthy individuals with insufficient collateral. Many of these loans became troubled or defaulted. In order to "hide" these troubled loans, BCCI used a bank-within-a-bank concept to show new deposits as payments for these loans. Newspaper articles claim a potential loss to depositors between 5.0 and 15.0 billion dollars. BCCI was founded in 1972 by Arab investors.

This problem was exacerbated by BCCI's treasurer, [redacted] b6 b7C lost between 600.0 million and 1.0 billion by trading on options and commodities. Again these loses were covered up by diverting deposits and other investments.

In 1978 two activities occurred which allegedly involved BCCI. [redacted] purchased the NATIONAL BANK OF GEORGIA from [redacted] President JIMMY CARTER. [redacted] then pledged the stock to BCCI. Information has been developed that indicates that [redacted] is a friend [redacted] of BCCI. [redacted] apparently suggested to [redacted] that FINANIAL GENERAL was a potential takeover target. b6 b7C

Beginning in 1978 investors from CREDIT AND COMMERCE AMERICAN HOLDINGS, N. V. (CCAH), purchased FINANCIAL GENERAL BANKSHARES, now known as FIRST AMERICAN BANKSHARES (FAB). CCAH is for all intent a subsidiary of BCCI. By 1982 BCCI, allegedly with the assistance of [redacted] obtained control of FAB. This control was not disclosed to banking authorities. [redacted] and FAB president ROBERT ALTMAN became directors of CCAH. Suspected BCCI-installed officers are currently operating FIRST AMERICAN. In 1986 FIRST AMERICAN purchased the NATIONAL BANK OF GEORGIA for 220 million. Since b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

INDEPENDENCE BANK
BANK OF CREDIT & COMMERCE INTERNATIONAL, S.A.

BCCI, according to investigations, already owned NATIONAL BANK, the money was transferred to Luxemberg to shore up operations.

The next activity allegedly involving BCCI occurred in 1985, when [redacted] petitioned to purchase INDEPENDENCE BANK (IB) of Encino, California. [redacted] told U.S. banking authorities that he was the sole acquiring party, failing to advise them of a nominee agreement with INTERNATIONAL CREDIT AND INVESTMENT COMPANY (ICIC). ICIC is also for all intent a subsidiary of BCCI located in the Caymen Islands. Specifically [redacted] failed to advise that ICIC was responsible for obtaining 85% of the financing and would own 85% of the shares of IB. Negotiations for the purchase of IB were handled by BCCI employees on behalf of [redacted] These individuals claimed they were consultants hired by [redacted] b6 b7C b6 b7C

Records provided to California banking authorities state [redacted] would obtain a portion of the purchase price, 8.4 million, from the FIRST NATIONAL BANK OF BOSTON (FNBB). [redacted] also received 8.5 million from the BANQUE ARABE ET INTERNATIONALE D'INVESTISSEMENT (BAII). BCCI sent a telex to BAII stating the money was to be used for the purchase of IB, and also instructed BAII to inform California banking authorities that [redacted] held the deposits for the purchase. b6 b7C

On September 30, 1985, FNBB and [redacted] entered into a loan agreement for 12.6 million to finance a portion of the IB purchase. The loan was secured by 100% of the stock of IB. FNBB wanted additional security in the form of a 5.0 million letter of credit. A BCCI official, purporting to represent [redacted] suggested that BCCI provide the letter. FNBB rejected this suggestion, but accepted a letter issued by BAII. BCCI induced BAII to issue this letter, by issuing a counter-guarantee. b6 b7C

In July, 1986, BCCI refinanced the loan and paid FNBB in full. When FNBB released the IB shares, BCCI arranged to transport the shares to its London office. BCCI is claiming the shares are security for a [redacted] loan. As indicated previously, BCCI has a number of troubled loans, including loans totaling at least 288 million to [redacted] and his companies. Since he is not apparently paying these loans, it is doubtful whether he will ever pay the IB loan in full. b6 b7C

Once the purchase was made, [redacted] was appointed Chairman. [redacted] was the former General Manager of BCCI's United Kingdom Group (which, incidentally, is apparently going to suffer b6 b7C

over 1.0 billion in losses). Evidently, [redacted] solicited [redacted] for this position. Since his appointment, [redacted] had numerous communications with BCCI officer [redacted] concerning IB activities and operations. These communications appeared to be seeking approval from [redacted] for various management decisions. Recent investigation indicates that [redacted] may have been involved with the negotations to purchase IB. Recent investigation has also revealed that [redacted] deposited large sums of money from BCCI into his account. Additionally, [redacted] made a number of payments to BCCI. b6 b7C

Since the purchase, [redacted] was requested to make three capital infusions in IB with funds which it is believed were obtained ultimately from BCCI. In addition to the capital injections, another possible connection with BCCI is a group of joint ventures IB entered into with the CITY CENTER GROUP (CCG). The [redacted] of CCG are [redacted] (ph), and [redacted] (ph). According to information from IB, [redacted] may have had a prior relationship with [redacted] It should be noted that [redacted] was employed by CCG after he resigned from IB. There is also information included in records filed with Bankrupcy Court, obtained from an IB officer, that indicates that [redacted] may be an officer of INTEREDEC, a [redacted] entity. b6 b7C

As part of the July 5, 1991, world-wide seizures, California banking authorities seized the BCCI office in Los Angeles and are in the process of liquidating its assets. [redacted] CALIFORNIA DEPARTMENT OF BANKING, [redacted] is in charge of this operation. According to [redacted] BCCI assets in Los Angeles are worth approximately 130 million, including loans and cash/securities. Most loans are to foreign corporations. BCCI liabilities include 15.0 million in deposits and 119.0 million owed to BCCI Luxemberg. b6 b7C

Contact was made with the FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC), who shares examination responsibilities of INDEPENDENCE BANK with State authorities. According to the FDIC, IB was given until the end of August to sell the bank, or obtain capital. If this was not done, the State would close the bank. FDIC Bank Examiner [redacted] is currently assisting in the preparations for closing down the bank. [redacted] was also involved with the past two examinations of INDEPENDENCE BANK. b6 b7C

INDEPENDENCE BANK
BANK OF CREDIT & COMMERCE INTERNATIONAL, S.A.

[redacted]

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It is believed by this writer that further exploration into INDEPENDENCE BANK may reveal potential FIF violations, including 18 USC 1344 and 18 USC 1014, as well as money laundering violations. AUSA [redacted] Chief of Major Frauds Section, has been contacted and agrees that further investigation is warranted to determine if any violations exist.

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U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

Los Angeles, CA 90024

August 2, 1991

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INDEPENDENCE BANK
BANK OF CREDIT & COMMERCE INTERNATIONAL, S.A.

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It is anticipated that further exploration into INDEPENDENCE BANK will reveal potential FIF violations, including 18 USC 1344 and 18 USC 1014, as well as money laundering violations. AUSA [redacted] Chief of Major Frauds Section, has been contacted and agrees that further investigation is warranted to determine if any violations exist.

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FD-36 (Rev. 11-17-88)

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 9/17/91

TO : SAC, WMFO (29B-WF-171994)(P)(C-5)

FROM : SAC, Los Angeles

SUBJECT : HIDDEN INTERESTS;
MAJOR CASE 38;
FIF(B), FAG
OO:WMFO

RE Telcal between SSA [redacted] WMFO and SA [redacted] LA on 9/13/91.

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Enclosed for WMFO are the following:

1. INTERREDEC consolidated financial statements for year ending 1987 and 88.

2. Audited financial statement for PHARAOH HOLDINGS LTD., for 1989.

3. REDEC Confidential Memorandum for Creditors Meeting on May 21, 1986.

(X) [redacted]

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For information of WMFO, the above documents were located at INDEPENDENCE BANK (IB), 15910 VENTURA BLVD., ENCINO, CA. Apparently, these files were left behind by [redacted] former BCCI employee and CEO of IB, when he resigned

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cc: 2- WMFO (Encl. 3)
2-LA
1-29B-WF-171994
1-29B-LA-126283

[redacted]

29B-LA-[redacted]-21

SEARCHED —
INDEXED
SERIALIZED
FILED

[redacted]

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Approved: _____ Transmitted _____ (Number) (Time) Per _____

[redacted]

in December of 1989. Particular attention should be paid to the PHARAOH HOLDINGS LTD. financial statement in that it contains a listing of all of [redacted] business intreresets. b6 b7C

The following is a brief recap of recent investigation and developments in Los Angeles regarding both BCCI and IB.

As WMFO is aware DOJ Attorney, [redacted] is responsible for the investigation of the IB acquisition by [redacted] and related BCCI matters. [redacted] served a FGJS from [redacted] b3 b6 b7C

As BCCI shutdown operations, it consolidated the operations of its Miami and San Francisco offices as well as its auditing function. As a result numerous records, including loan files, auditing records and personel records were shipped to BCCI-Los Angeles for storage. It is estimated that between 500-600 boxes are currently located in Los Angeles.

LA is currently reveiwing loans to organizations which also have business relationships with IB. The most notable is CITY CENTER GROUP (CCG). Evidently, CCG was the recepient of loans from BCCI-London. These loans were booked with out the knowledge of BCCI-Los Angeles.

LA has also obtained the loan files of [redacted] and FNU [redacted] was an officer of BCCI prior to being "hired" by FIRST AMERICAN BANCSHARES (FAB). According to two former BCCI employees, these loans fit the pattern of "benifits" provided to senior BCCI employees. b6 b7C

Documents were also located concerning [redacted] was evidently hired by BCCI as a consultant in 9/89. Prior to this, [redacted] made various trips to Washington D. C. which were reimbursed by BCCI. Receipts submitted by [redacted] indicate he met with ROBERT ALTMAN on 4/11/89 and 4/15/89. b6 b7C

Subsequent to [redacted] BCCI-Los Angeles and IB entered into a corresponding bank relationship. In addition to numerous wire transfers between BCCI-Los Angeles and IB, numerous customers were referred by BCCI-Los Angeles to IB. Additionally, when a former BCCI-Los Angeles employee was hired by IB, he forwarded a letter to [redacted] indicating his pleasure at being hired by a "sister" company. b6 b7C

FBI LA has also obtained copies of all Federal Reserve and State examinations of BCCI-Los Angeles. Permission was also obtained to interview the various examiners. Federal Reserve examiners spent approximately three weeks reviewing BCCI-Los Angeles records. FBI LA has obtained copies of documents retrieved by this examination. These documents were reveiwed and do not appear to have any relevance.

In regards to IB, as indicated above a number of files were evidently left behind by [redacted] when he resigned from IB. In addition to the enclosed documents a number of other documents were retrieved, including files concerning the FIRST NATIONAL BANK of BOSTON (FNBB) loan to [redacted] Included are numerous communications to BCCI. [redacted] also received a number of deposits from BCCI-Los Angeles totaling approximately 200,000. [redacted] has made numerous trips to London and the middle east, and left contact numbers of BCCI offices. LA is in the process of obtaining details concerning these trips. b6 b7C

An interview was conducted of [redacted] who is a local banker. [redacted] was considered for a position at IB and was interviewd at The BCCI offices in London by unknown individuals. [redacted] was not offered a position, although [redacted] and [redacted] IB President, thought highly of [redacted] b6 b7C

[redacted] was also interviewed and confirmed [redacted] frequent contact with BCCI. [redacted] also advised that [redacted] contacted [redacted] to obtain his [redacted] assistance in getting [redacted] daughter admitted to Georgetown University. [redacted] was also contacted for assistance in obtaining an apartment for [redacted] b6 b7C

The primary focus of LA's investigation has involved IB's participation in numerous joint ventures with CCG. Allegations from the bank indicate CCG was mis-appropriating funds which were obtained for the joint ventures. Information has been obtained which indicates [redacted] had a relationship with one of the principles of CCG, [redacted] It should be pointed out that IB's involvement with joint ventures did not begin until [redacted] became CEO. b6 b7C

[redacted] was also involved in the purchase of a 1/3 interest in a CCG company by a Monrovian corporation, ARKMAKER LIMITED. The funds used in the purchase were routed through BCCI. [redacted] was the prime contact for instructions concerning this transaction. [redacted] lists an address in the Guernsey Islands. b6 b7C

(196A-MM-[illegible])

[redacted] AKA [redacted] b6 b7C

[redacted] FIF; BAG; OO: WMFO.

CAPTIONED MATTER INVOLVES INVESTIGATION OF FALSE STATEMENTS MADE OVER MORE THAN 10 YEARS TO THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM (BGFRS), WASHINGTON, D.C. (WDC), BY SUBJECTS TO HIDE TRUE OWNERSHIP AND CONTROL OF CREDIT AND COMMERCE AMERICAN HOLDINGS, N.V. (CCAH), NETHERLANDS, ANTILLES, AND ITS SUBSIDIARIES, BY BANK OF CREDIT AND COMMERCE INTERNATIONAL (BCCI). IN 1982, BASED ON REPRESENTATIONS MADE BY [redacted] b6 b7C [redacted] ROBERT ALAN ALTMAN, AND FOUR MIDDLE EASTERN "INVESTORS," THE BGFRS APPROVED CCAH AS A BANK HOLDING COMPANY TO OWN FIRST AMERICAN BANKSHARES (FABS), WDC. INVESTIGATION TO DATE INDICATES THAT THE "INVESTORS" WERE MERELY NOMINEES FOR BCCI, A LUXEMBOURG-BASED BANK WHICH WAS UNACCEPTABLE TO THE BGFRS FOR ANY OWNERSHIP OR CONTROL OF ANY AMERICAN BANK. YEARLY CCAH FILINGS TO BGFRS SINCE 1982 HAVE DENIED EXISTENCE OF ANY BCCI ROLE IN ITS OPERATIONS. SUBSEQUENTLY, BCCI HAS ALLEGEDLY USED SIMILAR NOMINEE TRANSACTIONS TO OBTAIN CONTROL OF BANKS IN GEORGIA AND CALIFORNIA.

ON 2/7/91, WMFO INITIATED A FINANCIAL INSTITUTION FRAUD INVESTIGATION CONCERNING CAPTIONED MATTER BASED ON A

DECLASSIFICATION AUTHORITY FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 04-29-2026 BY [redacted]
FBI INFORMATION ONLY

COMMUNICATIONS SECTION
JUN 1975
TELETYPE

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Assoc. Dir. ___
Dep.-A.D.-Adm. ___
Dep.-A.D.-Inv. ___
Asst. Dir.:
Admin. ___
Comp. Syst. ___
Ext. Affairs ___
Files & Com. ___
Gen. Inv. ___
Ident. ___
Inspection ___
Intell. ___
Laboratory ___
Plan. & Eval. ___
Spec. Inv. ___
Training ___
Legal Coun. ___
Telephone Rm. ___
Director Sec'y ___

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R ØØ6 MI CODE

5:21PM NITEL 6/11/75 L SK

TO: DIRECTOR [redacted]

WFO [redacted]

FROM: MILWAUKEE [redacted] (P)

C O N F I D E N T I A L

[redacted] IS - R,

BUDED: JUNE 12, 1975

RE BUREAU AIRTEL TO ALBANY, ET AL, JUNE 5, 1975, REQUESTING IN PART THAT RECIPIENTS IDENTIFY REPRESENTATIVES OF ALUMNI ASSOCIATIONS AND STATE SOCIETIES IN WASHINGTON, D. C. (WDC), FOR EDUCATIONAL INSTITUTIONS SOVIET EXCHANGE STUDENTS (SES) ARE TO ATTEND.

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THIS COMMUNICATION CLASSIFIED AS IT REFLECTS BUREAU INVESTIGATIVE INTEREST IN SES AND TO PROTECT INDIVIDUALS IDENTIFIED HEREIN AS POTENTIAL BUREAU ASSETS.

[redacted]

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ONE SES IS CURRENTLY SCHEDULED TO ATTEND UNIVERSITY OF WISCONSIN (UW), FOR 1975-76 ACADEMIC SCHOOL YEAR. HE IS IDENTIFIED AS [redacted] BORN [redacted] TBILISSI, USSR, SPECIALIST IN TECHNICAL CYBERNETICS.)

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END PAGE ONE

[redacted]

[redacted]

JUN 25 1975

JUL 21 1975

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THE FOLLOWING ESTABLISHED SOURCES WERE CONTACTED BY SA [redacted] MADISON:

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[redacted]

SAID UW ALUMNI ASSOCIATION FOR WDC AREA IS QUITE ACTIVE (IT HAS A SCHOLARSHIP PROGRAM AND REGULARLY HOLDS SOCIAL FUNCTIONS SUCH AS PICNICS, BANQUETS AND DANCES.) EVEN THOUGH PERSON HAS NOT GRADUATED FROM UW, OR IS NOT CURRENT STUDENT, HE CAN BE MEMBER OF ASSOCIATION IF HE SHOWS AN INTEREST IN UW EDUCATION AND ACTIVITIES.

THE FOLLOWING INDIVIDUALS ARE CURRENT OFFICERS IN ABOVE-MENTIONED ASSOCIATION:

PRESIDENT - ROBERT BLUM, WHO RECEIVED BA IN 1938 AND MA IN 1940; OFFICE ADDRESS - 1301-20TH STREET N.W., #107, WDC; DC- Wisc. B Approx 1912-1918 Robert Blum - consultants - 785-1200

[redacted] WHO RECEIVED BA IN 1962, LLB IN 1965 AND MS IN 1970. HE IS EMPLOYED IN WDC WITH RESIDENCE OF [redacted] DOB [redacted] Wisc.

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SECRETARY - MRS. ROBERT BLUM (HALL IELOU WHITEFIELD) WHO RECEIVED BA IN 1938. SAME ADDRESS AS HUSBAND; AND, B Approx 1912-1918 Blum Wisc. D.C.

[redacted] WHO RECEIVED BBA IN 1969 (EMPLOYED IN WDC) WITH RESIDENCE ADDRESS [redacted] DOB [redacted] Wisc

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[redacted]

END PAGE TWO

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attorney Henry J. Fox B Approx 1912 - 1918 Henry J. Fox 815 H. 347-8500 Wisc. Catherine NW 244-24[illegible]

END PAGE THREE

DECLASSIFICATION AUTHORITY FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 04-29-2026 BY:
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~~CONFIDENTIAL~~

[redacted] IS-R WFO Bu [redacted]

REMITEL BUREAU 6/11/75.

BUFILES CONTAIN FOLLOWING INFORMATION RE INDIVIDUALS MENTIONED IN REFERENCED TEL.

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[redacted] -no record
no record

ROBERT ALAN ALTMAN
Bu 77-104737; WFO 77-85573 re [redacted] is identical with [redacted] WFO see your report 5/20/68 for info re relatives.

MRS. ROBERT BLUM (HALLIE LOU WHITEFIELD) -no degoratory info.

ROBERT (MMN) BLUM
NO pertinent info to preclude interview. WFO see your files:
BU [redacted] WFO [redacted]
Bu [redacted] 116-428543; WFO 116-128360
Bu 126-11; WFO 126-7
Bu [redacted] WFO [redacted] (See CG let 6/13/62 & CG rept. 1/31/68 re [redacted]

HENRY JACKSON FOX
WFO see Martindale-Hubbell Law Directory

Bu file 77-24529 (dated 1942-no WFO file) contains limited background for Fox, born 1/21/11, Milwaukee, Wisc.
Bu [redacted] WFO [redacted] WFO rept. SA [redacted] [redacted] re [redacted] Pg.123 contains info. re Fox.
Bu [redacted] (no wfo # noted) (MI Summary WDC 5/2/46 at 9:33 contains infor re Fox and [redacted]
Bu [redacted] (MI Summary 12/9/46 at 10:23)
Bu [redacted] (MI Summary 2/24/48 at 9:30 am)
Bu [redacted] is Bulet WFO 4/14/64 re [redacted] FOX, [redacted] RA-ENGLAND"
Bu 101-3599-64; WFO 101-496. See WFO rept SA [redacted] 12/3/52 re [redacted]

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WFO SHOULD NOT CONTACT FOX BASED ON ABOVE INFORMATION AS OTHER INDIVIDUALS ARE AVAILABLE FOR CONTACT. ~~CONFIDENTIAL~~

Classified by 3676
Exempt from GDS, Category 2
Date of Declassification Indefinite

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CONCERNING BLUMS AND FOX; HOWEVER, BASED ON DATES OF THEIR UW ATTENDANCE, IT WOULD APPEAR THESE INDIVIDUALS ARE IN LATTER 50S OR EARLY 60S IN AGE.

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[redacted] AND [redacted] INDIVIDUALS FAMILIAR WITH [redacted] SAID ABOVE-MENTIONED INDIVIDUALS UNKNOWN TO THEM.

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[redacted] ACQUAINTED WITH [redacted] AND [redacted] ACQUAINTED WITH [redacted] SAID THERE ARE NO KNOWN UW LANGUAGE OR ENGINEERING CLUBS OR SOCIETIES HAVING CHAPTERS OR INDEPENDENT ACTIVE MEMBERS IN WDC.

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END PAGE FIVE

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BUREAU REQUESTED TO SEARCH BLUMS, [redacted]

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ALTMAN AND FOX THROUGH FBIHQ INDICES.

WFO WILL CONDUCT AGENCY CHECKS AND REVIEW OFFICE INDICES ON ABOVE INDIVIDUALS, PRIOR TO GIVING CONSIDERATION TO "SOUNDING-OUT" INTERVIEW IN ASSESSING THEIR ASSET POTENTIAL IN PROVIDING COVERAGE ON [redacted]

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WFO (FOR PURPOSE OF ESTABLISHING ASSET RESERVOIR) WILL CONTACT SENATOR WILLIAM PROXMIRE'S OFFICE IN EFFORT TO IDENTIFY WDC LEADERS OF STATE SOCIETY OF WISCONSIN AND OTHER WISCONSIN ORGANIZATIONS, HAVING INTEREST IN UW, WITH CHAPTERS OR ACTIVISTS IN WDC.

CLASSIFIED BY [redacted] XGDS 2 AND 3, INDEFINITE.

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END

LSG FBIHQ CLR FOR FOUR AND TKS

4-22 (Rev. 6-26-73)

Federal Bureau of Investigation
Records Section

_____, 19___

- ☐ Name Searching Unit - Room 6527
- ☐ Service Unit - Room 6524
- ☐ Forward to FHS Rm. [redacted] b6 b7C
- ☑ Attention Rm. 4220 JEH Rm. 4220 JEH
- ☐ Return to Ext. 4562 Ext. 4562
  Supervisor Room Ext.

Type of References Requested:

- ☐ Regular Request (Analytical Search)
- ☑ All References (Subversive & Nonsubversive)
- ☐ Subversive References Only
- ☐ Nonsubversive References Only
- ☐ Main _____ References Only

Type of Search Requested:

- ☐ Restricted to Locality of _____
- ☐ Exact Name Only (On the Nose)
- ☐ Buildup ☐ Variations

Subject Robert ALAN ALTMAN
Birthdate & Place 2-23-47
Address
Office 815 Connecticut Ave WDC
Localities WISC - WDC area
R# _____ Date 6/12 Search Initials [redacted] b6 b7C
Prod. _____

	FILE NUMBER	SERIAL
-	N.R.	
-	ROBERT	
NI	49-19667	
NI	70-60869	
NDI	77-104737-5 Poss I (Jona	
NI	87-290-23-15-17	
NI	140-39051-3	
-	other BKd's	
-	N R.	

[redacted] b6 b7C

WFO 65-93
77-85

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HOME AREAS WHO ARE TEMPORARILY RESIDING IN OR ABOUT WDC. IN THE CASE OF THE STATE SOCIETY OF WISCONSIN, IT IS BELIEVED TO BE RATHER UNSTRUCTURED (HAVING NO OFFICE SPACE); HOWEVER, THERE UNDOUBTLY ARE INDIVIDUALS (WHO MAY OR MAY NOT HAVE ATTENDED UW), WHO FUNCTION IN LEADERSHIP CAPACITY FOR THIS SOCIETY, AND WHO ARE RESPONSIBLE FOR PLANNING ITS DINNER PARTIES, DANCES AND OTHER ACTIVITY. SENATOR PROXMIRE'S MAIN OFFICE AT 5241 DIRKSEN OFFICE BUILDING, WDC, SHOULD BE OF ASSISTANCE IN IDENTIFYING THESE PEOPLE AND ALSO IN PROVIDING INFORMATION CONCERNING WHEREABOUTS AND ACTIVITIES OF WISCONSIN CLUBS OR SOCIETIES, WHICH HAVE AN INTEREST IN UW, EVEN THOUGH NOT FORMALLY CONNECTED WITH IT, AND WHICH HAVE CHAPTERS OR ACTIVE MEMBERS IN WDC AREA.

[redacted], UW TRANSCRIPT SUPERVISOR, PROVIDED FOLLOWING

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BACKGROUND DATA ON UW GRADUATES:

[redacted] WAS BORN [redacted]

WAS BORN [redacted] ROBERT ALAN ALTMAN WAS BORN FEBRUARY 23, 1947; AND THE BIRTHDATES ARE NOT AVAILABLE

D.C.
Wisc

END PAGE FOUR

DECLASSIFICATION AUTHORITY FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 04-29-2026 BY: [redacted]
FBI INFORMATION ONLY

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NR 006 MI CODE

10/01PM NITEL 6/11/75 LSK

TO: DIRECTOR [redacted]

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WFO [redacted]

FROM: MILWAUKEE [redacted] (P)

~~C O N F I D E N T I A L~~

[redacted] IS - R,

BUDED: JUNE 12, 1975

RE BUREAU AIRTEL TO ALBANY, ET AL, JUNE 5, 1975, REQUESTING IN PART THAT RECIPIENTS IDENTIFY REPRESENTATIVES OF ALUMNI ASSOCIATIONS AND STATE SOCIETIES IN WASHINGTON, D. C. (WDC), FOR EDUCATIONAL INSITUTIONS SOVIE EXCHANGE STUDENTS (SES) ARE TO ATTEND.

THIS COMMUNICATION CLASSIFIED AS IT REFLECTS BUREAU INVESIGATIVE INTEREST IN SES AND TO PROTECT INDIVIDUALS IDENTIFIED HEREIN AS POTENTIAL BUREAU ASSETS.

(ONE SES IS CURRENTLY SCHEDULED TO ATTEND UNIVERSITY OF WISCONSIN (UW), FOR 1975-76 ACADEMIC SCHOOL YEAR. HE IS IDENTIFIED AS [redacted] BORN [redacted] [redacted] TBILISSI, USSR, SPECIALIST IN TECHNICAL CYBERNETICS.)

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END PAGE ONE

[redacted]

[redacted]

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THE FOLLOWING ESTABLISHED SOURCES WERE CONTACTED BY SA [redacted] MADISON:

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[redacted]

SAID UW ALUMNI ASSOCIATION FOR WDC AREA IS QUITE ACTIVE (IT HAS A SCHOLARSHIP PROGRAM AND REGULARLY HOLDS SOCIAL FUNCTIONS SUCH AS PICNICS, BANQUETS AND DANCES.) EVEN THOUGH PERSON HAS NOT GRADUATED FROM UW, OR IS NOT CURRENT STUDENT, HE CAN BE MEMBER OF ASSOCIATION IF HE SHOWS AN INTEREST IN UW EDUCATION AND ACTIVITIES.

THE FOLLOWING INDIVIDUALS ARE CURRENT OFFICERS IN ABOVE-MENTIONED ASSOCIATION:

PRESIDENT - ROBERT BLUM, WHO RECEIVED BA IN 1938 AND MA IN 1940; OFFICE ADDRESS - 1301-20TH STREET N.W., 107, WDC;

[redacted] WHO RECEIVED BA IN 1962,

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LLB IN 1965 AND MS IN 1970. HE IS EMPLOYED IN WDC WITH RESIDENCE OF [redacted]

SECRETARY - MRS. ROBERT BLUM (HALLIELOU WHITEFIELD), WHO RECEIVED BA IN 1938. SAME ADDRESS AS HUSBAND; AND,

[redacted] WHO RECEIVED BBA IN 1969

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(EMPLOYED IN WDC) WITH RESIDENCE ADDRESS [redacted]

END PAGE TWO

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BUREAU REQUESED TO SEARCH BLUMS, [redacted]
ALTMAN AND FOX THROUGH FBIHQ INDICES.

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CLASSIFIED BY [redacted] XGDS 2 AND 3, INDEFINITE.

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END

WWC WF FBI CLR

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FEBRUARY 23, 1947; AND THE BIRTHDATES ARE NOT AVAILABLE

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PAGE FIVE [redacted] ~~C O N F I D E N T I A L~~ b3 b7E

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[redacted] AND [redacted] INDIVIDUALS FAMILIAR WITH [redacted] SAID ABOVE-MENTIONED INDIVIDUALS UNKNOWN TO THEM. b7D b7E

[redacted] ACQUAINTED WIH [redacted] AND [redacted] ACQUAINTED WITH [redacted], SAID THERE ARE NO KNOWN UW LANGUAGE OR ENGINEERING CLUBS OR SOCIETIES HAVING CHAPTERS OR INDEPENDENT ACTIVE MEMBERS IN WDC. b7D b7E

END PAGE FIVE

PAGE THREE [redacted] ~~C O N F I D E N T I A L~~ b3 b6 b7C b7D b7E

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END PAGE THREE